



MEETING TITLE : Public Trust Board		MEETING DATE 28/07/2015	
TITLE of PAPER	Board Assurance Framework (BAF) including Risk Register	PAPER REF	5.4
STRATEGIC OBJECTIVE	All		
PURPOSE OF THE PAPER	To inform the Board on the risks recorded within the BAF and Corporate Risk Register and to provide assurance on the effective management of corporate risks		
For Approval	<input type="checkbox"/>	For Assurance	<input type="checkbox"/>
For Decision	<input type="checkbox"/>	Discussion/Information	<input checked="" type="checkbox"/>
AUTHOR / LEAD	Becky Monaghan, Associate Director Risk and Safety	ACCOUNTABLE DIRECTOR	Steve Page, Director of Standards and Compliance
<p>DISCUSSED AT / INFORMED BY The BAF and CRR are subject to the quarterly cycle of peer review through Risk and Assurance Group, Trust Executive Group and Board Committees.</p> <p>The key risks and mitigations were discussed at the Board Development Meeting in February 2015 from which this BAF 2015/16 has been derived and updated monthly.</p>			
PREVIOUSLY AGREED AT:	Committee/Group: Not Applicable	Date:	
RECOMMENDATION	It is recommended that Trust Board notes the developments outlined in the report and is assured with regard to the effective management of risks.		
RISK ASSESSMENT		Yes	No
Corporate Risk Register and/or Board Assurance Framework amended <i>If 'Yes' – expand in Section 4. / attached paper</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Resource Implications (Financial, Workforce, other - specify) <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legal implications/Regulatory requirements <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Equality and Diversity Implications <i>If 'Yes' – please attach to the back of this paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
ASSURANCE/COMPLIANCE			
Care Quality Commission	All		
Monitor Quality Governance Framework	All		

1. PURPOSE/AIM

- 1.1 To inform the Trust Board on the risks recorded within the BAF and Corporate Risk Register and to provide assurance on the effective management of corporate risks

2. BACKGROUND/CONTEXT

- 2.1 There was a presentation to Board Development Meeting in February 2015, where all risks and mitigations on the BAF were discussed with a view to closing down the 2014/15 BAF and proposing continuation of existing risks or the addition of new risks which formed the 2015/16 BAF .
- 2.2 The strategic objectives on the BAF are underpinned by risk registers and high level risks from other sources, covering the full range of Trust business.
- 2.3 The updated BAF and risk register have been reviewed by the Trust Executive and senior management, in Risk and Assurance Group and through the July round of Board Committees.

3. PROPOSALS/ NEXT STEPS

- 3.1 The quarterly cycle of the BAF review will continue through management groups and committees.
- 3.2 The Risk Register will continue to be reviewed at each meeting of the Risk and Assurance Group.
- 3.3 An additional session has been scheduled within Board Development program to allow further opportunity to discuss Risk Appetite in relation to Trust Strategy.

4. RISK ASSESSMENT

- 4.1 Key changes to the Trust risk profile since last report have been incorporated into the revised BAF and CRR.

5. RECOMMENDATIONS

- 5.1 It is recommended that Trust Board notes the developments outlined in the report and is assured with regard to the effective management of risks.

6. APPENDICES/BACKGROUND INFORMATION

- 6.1 Appendix 1 - BAF 2015/16
- 6.2 Appendix 2 - Corporate Risk Register