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| MEETING TITLE Public Trust Board | | MEETING DATE 28/07/2015 | |
| TITLE of PAPER | | Risk Management and Assurance Strategy | PAPER REF 5.6 |
| STRATEGIC OBJECTIVE | | All | |
| PURPOSE OF THE PAPER | | To provide the Trust Board with an opportunity to review and approve the refreshed Risk Management and Assurance Strategy | |
| For Approval | | <input type="checkbox"/> | For Assurance <input checked="" type="checkbox"/> |
| For Decision | | <input type="checkbox"/> | Discussion/Information <input checked="" type="checkbox"/> |
| AUTHOR / LEAD | Becky Monaghan Associate Director of Risk and Safety | ACCOUNTABLE DIRECTOR | Steve Page Executive Director of Standards and Compliance |
| DISCUSSED AT / INFORMED BY – Reviewed by Executive Directors and Senior Managers with additional committee review via Audit Committee and Quality Committee as previews to Trust Board | | | |
| PREVIOUSLY AGREED AT: | | Committee/Group: Audit Committee Quality Committee | Date: 2 July 2015 9 July 2015 |
| RECOMMENDATION | | It is recommended that the Trust Board reviews and approves this Strategy | |
| RISK ASSESSMENT | | | Yes No |
| Corporate Risk Register and/or Board Assurance Framework amended <i>If 'Yes' – expand in Section 4. / attached paper</i> | | | <input type="checkbox"/> <input checked="" type="checkbox"/> |
| Resource Implications (Financial, Workforce, other - specify) <i>If 'Yes' – expand in Section 2. / attached paper</i> | | | <input type="checkbox"/> <input checked="" type="checkbox"/> |
| Legal implications/Regulatory requirements <i>If 'Yes' – expand in Section 2. / attached paper</i> | | | <input type="checkbox"/> <input checked="" type="checkbox"/> |
| Equality and Diversity Implications <i>If 'Yes' – please attach to the back of this paper</i> | | | <input type="checkbox"/> <input checked="" type="checkbox"/> |
| ASSURANCE/COMPLIANCE | | | |
| Care Quality Commission Choose a DOMAIN | | 5: Well led 1: Safe 2: Effective | |
| Monitor Quality Governance Framework | | Not Applicable | |

1. PURPOSE/AIM

- 1.1 To provide the Trust Board with an opportunity to review and approve the refreshed Risk Management and Assurance Strategy.

2. BACKGROUND/CONTEXT

- 2.1 This Strategy describes the framework which enables implementation of effective risk management and which promotes continuous improvement of the processes and cultures which are essential to delivery. The document also describes a systematic corporate process for evaluating and addressing the impact of risk across all Trust functions.
- 2.2 Minimal changes have been made to this Strategy to reflect updates to the committee structure.
- 2.3 Review and comments have been sought from Trust Management Group, Quality Committee and Audit Committee. Amendments have been made accordingly.

3. PROPOSALS/NEXT STEPS

- 3.1 Following approval by Trust Board, the Strategy will be uploaded to the YAS intranet documents library.

4. RISK ASSESSMENT

- 4.1 No significant changes have been made to the strategy since the last Board review. The document complies with current guidance and risk management systems and processes have been subject to Committee and internal audit review during 2014/15, providing significant assurance in the Trust's risk management arrangements.

5. RECOMMENDATIONS

- 5.1 It is recommended that the Trust Board reviews and approves the updated Risk Management and Assurance Strategy.

6. APPENDICES/BACKGROUND INFORMATION

- 6.1 Appendix 1 - Risk Management and Assurance Strategy